

# പതിനാലാം കേരള നിയമസഭ

## നാലാം സമ്മേളനം

നക്ഷത്ര ചിഹ്നമിടാത്ത ചോദ്യം നം.3769

15-03-2017 ൽ മറുപടിക്ക്

'കിഫ്ബി' പദ്ധതി നിർവ്വഹണ ഏജൻസികൾ

<b>ചോദ്യം</b> <b>ശ്രീ. കെ.വി.വിജയദാസ്</b>	<b>മറുപടി</b> <b>ശ്രീ. ടി.എം. തോമസ് ഐസക് (ധനകാര്യവും കയറും വകുപ്പു മന്ത്രി)</b>
<p>(എ) 'കിഫ്ബി' നൽകുന്ന ഭരണാനുമതിയുടെ അടിസ്ഥാനത്തിൽ ഏജൻസികളെ ലിസ്റ്റ് ചെയ്തിട്ടുണ്ടോ; ഉണ്ടെങ്കിൽ വിശദാംശങ്ങൾ നൽകുമോ;</p>	<p>2016-17 ലെ പുതുക്കിയ ബഡ്ജറ്റ് പ്രഖ്യാപനത്തിലെ പ്രത്യേക നിക്ഷേപ പദ്ധതിയിൽ ഉൾപ്പെടുത്തിയ പദ്ധതികൾ കിഫ്ബി മുഖേനയാണ് നടപ്പിലാക്കി വരുന്നത്. പ്രത്യേക നിക്ഷേപ പദ്ധതിയിൽ ഉൾപ്പെട്ട പദ്ധതി നിർദ്ദേശങ്ങൾ 08.08.2016 ലെ സ.ഉ. (കെ) നം. 315/16/ധന. ഉത്തരവിലെ മാർഗ്ഗ നിർദ്ദേശങ്ങൾ അനുസരിച്ച് ഭരണ വകുപ്പ് ഭരണാനുമതി പുറപ്പെടുവിക്കുകയും തുടർന്ന് വെബ് സൈറ്റിൽ ഓൺലൈനായി സമർപ്പിക്കുകയും ചെയ്യുന്നു. ഇങ്ങനെ സമർപ്പിക്കപ്പെട്ട പദ്ധതികളിൽ, മേൽ സൂചിപ്പിച്ച മാർഗ്ഗ നിർദ്ദേശങ്ങൾക്കനുസരിച്ച് യോഗ്യമായതും വനം, ആരോഗ്യം, ജലവിഭവം, ഐ.ടി, പൊതുമരാമത്ത് എന്നീ മേഖലകളിൽ ഉൾപ്പെട്ടതുമായ 48 പദ്ധതികളെ ഒന്നാംഘട്ടമായി കിഫ് ബോർഡിന്റെ അംഗീകാരത്തിനായി സമർപ്പിക്കുകയും 07.11.2016 ൽ കൂടിയ ആദ്യ യോഗം അംഗീകാരം നൽകുകയും ചെയ്തിട്ടുണ്ട്. ഇപ്രകാരം അംഗീകരിച്ച പദ്ധതികളുടെയും പദ്ധതി നിർവ്വഹണ ഏജൻസികളുടെയും പട്ടിക അനുബന്ധമായി ചേർക്കുന്നു.</p>

<p>(ബി) നിർവ്വഹണ ഏജൻസികളെ തിരഞ്ഞെടുക്കുന്നതിനുള്ള തിരഞ്ഞെടുക്കുന്നതിനുള്ള മാനദണ്ഡങ്ങൾ എന്തെല്ലാമായിരുന്നു ;</p>	<p>നിർവ്വഹണ ഏജൻസികളെ തിരഞ്ഞെടുക്കുന്നതിനുള്ള മാനദണ്ഡങ്ങൾ 08.08.2016 ലെ സ.ഉ, (കൈ) നം. 315/16/ധന. ഉത്തരവിലെ I(4)ഖണ്ഡികയിൽ പ്രതിപാദിച്ചിട്ടുണ്ട്. ഉത്തരവിന്റെ പകർപ്പ് അനുബന്ധമായി ചേർക്കുന്നു.</p>
<p>(സി) 'കിഫ്ബി' പദ്ധതിയുടെ പ്രവർത്തനങ്ങളുടെ ഓഡിറ്റ് എപ്രകാരം നടത്തുവാനാണ് ഉദ്ദേശിക്കുന്നത് ; വിശദവിവരം നൽകുമോ?</p>	<p>ദേശഗതി ചെയ്ത കിഫ് ആക്ട്, 2016 ലെ 15-ാം വകുപ്പിൽ കിഫ് ബോർഡിന്റെ പ്രവർത്തനങ്ങളുടെ ഓഡിറ്റ് നടത്തുന്നതിനുള്ള രീതികൾ താഴെ പറയും പ്രകാരം നിർദ്ദേശിച്ചിട്ടുണ്ട്.</p> <p>(1) ബോർഡ്, പദ്ധതിയിൽ നിർദ്ദേശിക്കാവുന്ന പ്രകാരമുള്ള ഫാറത്തിലും അപ്രകാരമുള്ള രീതിയിലും കണക്കുബുക്കുകളും അതിന്റെ കണക്കുകളുമായി ബന്ധപ്പെട്ട മറ്റു ബുക്കുകളും സൂക്ഷിക്കേണ്ടതും വാർഷിക കണക്കുകളുടെ ഒരു സ്റ്റേറ്റ്മെന്റും ബാലൻസ്ഷീറ്റും തയ്യാറാക്കേണ്ടതുമാണ്.</p> <p>(2) ബോർഡിന്റെ കണക്കുകൾ, സർക്കാർ പൊതുവായതോ പ്രത്യേകമായതോ ആയ ഉത്തരവുമൂലം നിർദ്ദേശിക്കാവുന്ന പ്രകാരമുള്ള ആഡിറ്റർ അപ്രകാരമുള്ള രീതിയിലും സമയത്തും ആഡിറ്റർ ചെയ്യേണ്ടതും അപ്രകാരം നിയമിക്കപ്പെടുന്ന ആഡിറ്റർക്ക് അപ്രകാരമുള്ള കാര്യങ്ങളെ സംബന്ധിച്ച് രേഖകൾ ഹാജരാക്കുവാനും വിവരങ്ങൾ നൽകുവാനും ആവശ്യപ്പെടാനുള്ള അധികാരം ഉണ്ടായിരിക്കുന്നതുമാണ്.</p> <p>(3) ആഡിറ്റർ സാക്ഷ്യപ്പെടുത്തിയ പ്രകാരമുള്ള ബോർഡിന്റെ കണക്കുകൾ അതിൻമേലുള്ള ആഡിറ്റ് റിപ്പോർട്ട് സഹിതം വർഷംതോറും സർക്കാരിന് അയച്ചുകൊടുക്കേണ്ടതും സർക്കാർ അതിന് യുക്തമെന്ന് തോന്നുന്ന പ്രകാരമുള്ള നിർദ്ദേശങ്ങൾ ബോർഡിന് നൽകേണ്ടതും ബോർഡ് അപ്രകാരമുള്ള നിർദ്ദേശങ്ങൾ പാലിക്കേണ്ടതുമാണ്.</p> <p style="text-align: right;">ഇതു കൂടാതെ, മേൽ സൂചിപ്പിച്ച ദേശഗതി ചെയ്ത കിഫ്</p>

ആക്ടിലെ 17 എ വകുപ്പ് പ്രകാരം പരിശോധന അധികാരസ്ഥാനങ്ങളെ നിയമിക്കുന്നതിനുള്ള അധികാരവും താഴെപ്പറയും പ്രകാരം വ്യവസ്ഥ ചെയ്തിട്ടുണ്ട്.

(1) സർക്കാരിന്, പൊതുവായതോ പ്രത്യേകമായതോ ആയ ഉത്തരവ് വഴി, ഏതെങ്കിലും പദ്ധതിയും ബോർഡ് ധനസഹായം നൽകിയിട്ടുള്ള പദ്ധതി നടപ്പിലാക്കുന്നതിന് വേണ്ടിയുള്ള ഏതെങ്കിലും സ്പെഷ്യൽ പർപ്പസ് വെഹിക്കിളിന്റെ രേഖകളും പരിശോധിക്കുന്നതിനായി, അതിന് യുക്തമെന്ന് കരുതുന്ന പ്രകാരമുള്ള ആളുകൾ അടങ്ങിയ പരിശോധന അധികാരസ്ഥാനത്തെ നിയമിക്കാവുന്നതാണ്.

(2) അപ്രകാരമുള്ള അധികാരസ്ഥാനത്തിന് ഏതെങ്കിലും സ്പെഷ്യൽ പർപ്പസ് വെഹിക്കിളിൽ നിന്നും രേഖകൾ ആവശ്യപ്പെടുന്നതിനും അത് നടപ്പിലാക്കിയിട്ടുള്ള പദ്ധതികളുടെ ഓഫീസ്, സ്ഥലം, കെട്ടിടങ്ങൾ എന്നിവ പരിശോധിക്കുന്നതിനുമുള്ള അധികാരമുണ്ടായിരിക്കുന്നതാണ്.

ഈ വ്യവസ്ഥക്കു വിധേയമായി 11 വിദഗ്ദ്ധരുടങ്ങിയ ഒരു പരിശോധന അധികാര സ്ഥാനത്തേക്കുൾപ്പെടെ നിയമന നടപടികൾ ആരംഭിക്കുന്നതിന് 14-02-2017 ലെ 77/2017/ധന പ്രകാരം സർക്കാർ ഉത്തരവ് പുറപ്പെടുവിച്ചിട്ടുണ്ട്.

സെക്ഷൻ ഓഫീസർ

**PROCEEDINGS OF THE FUND MANAGER  
KERALA INFRASTRUCTURE INVESTMENT FUND BOARD,  
THIRUVANANTHAPURAM**

**ORDER NO: 31/KIIFB/2016 DATED 18.11.2016**

**Sub:- KIIFB – Approval of first tranche of infrastructure projects for KIIFB Funding-Orders issued.**

**Read:- 1. Minutes of 27<sup>th</sup> General Body Meeting (1<sup>st</sup> Meeting of the reconstituted Board) of KIIFB.**

The 27<sup>th</sup> General Body meeting of Kerala Infrastructure Investment Fund Board (KIIFB) approved 48 infrastructure project proposals with total outlay of Rs.4004.86Cr.(Rupees Four Thousand and Four Crore and Eighty Six Lakh Only) as detailed below.

Sanction is therefore accorded for the following projects for implementation through KIIFB funding.

Sl. No	Project Code	Project Name	Administrative Sanction Order	Special Purpose Vehicle	Total Outlay (in Cr)
1	FOR001-00	Fencing - man-animal conflict in forest	G.O.(Ms)No. 35/2016/F&WLD Dated 21/10/2016	State Forest Development Agency (SFDA)	100
<b>Total</b>					<b>100</b>
2	HFV001-01	Setting Up of Cath Labs and CCU	G.O.(Rt) No.2587/2016/ H&FWD dated 21/09/2016	Kerala Medical Services Corporation (KMSCL)	80
3	HFV001-02	Setting up of Dialysis Centre	G.O.(Rt) No.2587/2016/ H&FWD dated 21/09/2016	Kerala Medical Services Corporation (KMSCL)	69
<b>Total</b>					<b>149</b>
4	IND005-00	Petrochemical & Pharma Park	G.O.(Ms)No. 119/2016/ID dated 17/10/2016	Kerala Industrial Infrastructure Development Corporation (KINFRA)	1264
<b>Total</b>					<b>1264</b>

5	ITD001-00	First Building at Technocity with 2 lakh sq. feet	G.O.(Rt) No.211/2016/E&ITD dated 28/09/2016	Kerala State IT Infrastructure Ltd. (KSITIL)	100
6	ITD001-02	Kerala Technology Innovation Zone	G.O.(Rt)No. 215/2016/E&ITD dated 01/10/2016	Kerala Startup Mission (KSUM)	251.13
<b>Total</b>					<b>351.13</b>
7	PWD004-02	Improvements to Ambalapuzha Thiruvalla road	G.O(Rt)No.1192/ 2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	69.50
8	PWD004-03	Four Laning of NH-47(66)- Karamana Kaliyikavila - Phase-I - Reach II - Pravachambalam to Vazhirukku	G.O.(Rt) No.1387/2016/PWD Dated 13/10/2016	Kerala Road Fund Board (KRFB)	153
9	PWD004-04	Improvements to Kalavoor Kattoor Beach road	G.O(Rt)No.1192/ 2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	19
10	PWD004-05	Varankavala Coalgate Kavungal road	G.O(Rt)No.1192/ 2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	19
11	PWD004-06	Improvements to Cherthala-Thannermukkom Road CH 0/000- 5/950	G.O(Rt)No.1192/ 2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	10
12	PWD004-07	Improvements to Perambra - Cheruvannur - Vatakara road between Km 0/000 to 9/800 in Kozhikode District	G.O(Rt)No.1192/ 2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	24.40
13	PWD004-10	Improvements to Kozhikode Balusserry road in Kozhikode	G.O(Rt)No.1192/ 2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	59.75

14	PWD004-12	Improvements to Easthill - Ganapathikavu - Karaparamba road	G.O(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	21
15	PWD004-15	Payyoli Perambra road between Km 0/000 & 18/105 in Kozhikode	G.O(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	42
16	PWD004-18	Improvements to Thamarasserry Varattiakkal road in Kozhikode District	G.O(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	36
17	PWD004-25	Improvements to Kaithavana Pazhayanadakkavu Ambalappuzha Vadakkenada	G.O(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	19.54
18	PWD004-26	Improvements to Muttungal - Nadapuram - Pakramthalam road between Km 0/000 to 11/855 in Kozhikode	G.O(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	41.50
19	PWD004-30	Improvements to Melechovva - Mattannur road Km 0/000 to 23/023	G.O(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	34
20	PWD004-31	Improvements to the road with BM & BC of Chova Anjarakandy Keezhaliur Mattannur road from Km 9/000 to 19/000	G.O(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	22.75
21	PWD004-35	Arammayil Parapram road (Improvements to Parapram Olayambalam sixth mile road Km 0/000 to 5/167 and Km 5/900 to 9/405) in Kannur	G.O(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	14.53
<b>Sub-Total</b>					<b>585.97</b>

22	PWD006-01	Improvements to kallanthodu Kulimaud road Km 0/000 to 7/000	G.O.(Rt)No.1192/2016/PWD dated 20/08/2016	Kerala Road Fund Board (KRFB)	25
23	PWD012-01	Construction of Flyovers at Ulloor in Thiruvananthapuram	G.O.(Ms)No.67/2016/PWD Dated 08/09/2016	Kerala Rapid Transit Corporation Ltd (KRTL)	52.68
24	PWD012-02	Construction of Flyovers at Pattom in Thiruvananthapuram	G.O.(Ms)No.67/2016/PWD dated 08/09/2016	Kerala Rapid Transit Corporation Ltd (KRTL)	84.61
25	PWD012-03	Construction of Flyovers at Sreekariyam in Thiruvananthapuram	G.O.(Ms)No.67/2016/PWD Dated 08/09/2016	Kerala Rapid Transit Corporation Ltd (KRTL)	135.37
<b>Total</b>					<b>883.63</b>
26	WRD002-02	Augmentation of Urban Water Supply to Shoranur Municipality and Vaniyamkulam Panchayat	G.O.(Rt)No.841/2016/WRD Dated 27.10.2016. and G.O.(Rt)No. 849/2016/WRD dated 28/10/2016	Kerala Water Authority	35
27	WRD002-03	Augmentation of 25 mld WTP at Kallissery and Renovation of 33 mld WTP at Thiruvalla for Thiruvalla and Changanassery Municipalities and adjoining Panchayats	G.O.(Rt)No.841/2016/WRD, Dated 27.10.2016. and G.O.(Rt)No. 849/2016/WRD Dated 28/10/2016	Kerala Water Authority	58
28	WRD002-04	Augmentation of WSS to Kollam Corporation Phase-2	G.O.(Rt)No.841/2016/WRD Dated 27.10.2016. and G.O.(Rt)No.849/2016/WRD Dated 28.10.2016.	Kerala Water Authority	235

29	WRD002-05	WSS to Kasargod Municipality and Chemmanad Panchayat Phase-1	G.O.(Rt)No.841/2016/WRD Dated 27.10.2016. and G.O.(Rt)No. 849/2016/WRD, Dated 28/10/2016	Kerala Water Authority	76
30	WRD002-06	Comprehensive WSS to Ponnani and adjoining Panchayats	G.O.(Rt)No.841/2016/WRD Dated 27.10.2016. and G.O.(Rt)No. 849/2016/WRD, Dated 28/10/2016	Kerala Water Authority	74.40
31	WRD002-07	WSS to Koyilandy municipality and adjoining panchayats Phase-1	G.O.(Rt)No.841/2016/WRD, Dated 27.10.2016. and G.O.(Rt)No.849/2016/WRD, Dated 28/10/2016	Kerala Water Authority	85
32	WRD002-08	Augmentation of Urban Water Supply Scheme to Thodupuzha Municipality in Idukki District	G.O.(Rt)No. 841/2016/WRD, Dated 27.10.2016. and G.O.(Rt)No. 849/2016/WRD, dated 28/10/2016	Kerala Water Authority	34
33	WRD002-09	Augmentation of WSS to Kottayam Municipality	G.O.(Rt)No.841/2016/WRD, Dated 27.10.2016. and G.O.(Rt)No.849/2016/WRD, Dated 28.10.2016.	Kerala Water Authority	50
34	WRD002-10	WSS to Thrissur corporation and adjoining Panchayats	G.O.(Rt)No.841/2016/WRD, Dated 27.10.2016. and G.O.(Rt)No.849/2016/WRD, Dated 28/10/2016	Kerala Water Authority	185



35	WRD002-11	WSS to Mattanur and Iritty Municipalities (56.00 (First Phase) 20.060(Second Phase))	G.O.(Rt)No. 841/2016/WRD, Dated 27.10.2016. and G.O.(Rt)No. 849/2016/WRD, Dated 28/10/2016	Kerala Water Authority	76.60
<b>Sub-Total</b>					<b>909</b>
36	WRD003-02	Augmentation of water supply scheme to Dharmadam panchayat in Dharmadam constituency	G.O.(Rt)No. 843/2016/WRD Dated 27/10/2016 and G.O.(Rt)No. 854/2016/WRD Dated 28/10/2016	Kerala Water Authority	16.50
37	WRD003-03	WSS to Kottayam Census Towns- Strengthening of Distribution system in Thalassery Taluk of Kannur District	G.O.(Rt)No. 843/2016/WRD Dated 27/10/2016 and G.O.(Rt)No. 854/2016/WRD Dated 28/10/2016	Kerala Water Authority	12
38	WRD003-04	WSS to Mananthavady, Edavaka and Nallornadu Villages in Wayanad district	G.O.(Rt)No. 843/2016/WRD Dated 27/10/2016 and G.O.(Rt)No. 854/2016/WRD Dated 28/10/2016	Kerala Water Authority	18
39	WRD003-05	CWSS to Thiruvalli and Adjoining Villages- Phase-1 and 2- Thiruvalli and Wandoor Part-1	G.O.(Rt)No. 843/2016/WRD Dated 27/10/2016 and G.O.(Rt)No. 854/2016/WRD dated 28/10/2016	Kerala Water Authority	22.20
40	WRD003-06	Augmentation of Nenmara, Ayilur and Melarcode Water Supply Scheme in Chittur and Alathur Taluks in Palakkad District(Phase 2)	G.O.(Rt)No. 843/2016/WRD, Dated 27/10/2016 and G.O.(Rt)No. 854/2016/WRD Dated 28/10/2016	Kerala Water Authority	24.50

41	WRD003-07	Water Supply Scheme strengthening of distribution system in Kadamakudy Panchayat	G.O.(Rt)No. 843/2016/WRD, Dated 27/10/2016 and G.O.(Rt)No. 854/2016/WRD, Dated 28/10/2016	Kerala Water Authority	12
42	WRD003-08	Water Supply Scheme strengthening of distribution system in Varappuzha Panchayat	G.O.(Rt)No. 843/2016/WRD, Dated 27/10/2016 and G.O.(Rt)No. 854/2016/WRD, Dated 28/10/2016	Kerala Water Authority	16.50
43	WRD003-09	WSS to Perunadu and Athikayam Villages in Pathanamthitta District	G.O.(Rt)No. 843/2016/WRD Dated 27/10/2016 and G.O.(Rt)No. 854/2016/WRD Dated 28/10/2016	Kerala Water Authority	41.40
<b>Sub-TOTAL</b>					<b>163.10</b>
44	WRD010-01	Improvements to Ramanattukara Water Supply Scheme by interlinking with WSS to Cheekode and adjoining villages	G.O.(Rt)No. 846/2016/WRD, Dated 27/10/2016 and G.O.(Rt)No.850/2016/WRD, dated 28/10/2016	Kerala Water Authority	26
46	WRD010-02	WSS to Kalluvathukkal, Paripally and Velinallor Village-Phase I	G.O.(Rt)No. 846/2016/WRD, Dated 27/10/2016 and G.O.(Rt)No. 850/2016/WRD, Dated 28/10/2016	Kerala Water Authority	27
45	WRD010-03	WSS to Ambalappara Panchayat in Ottapalam Taluk, Palakkad District-Phase I	G.O.(Rt)No. 846/2016/WRD, Dated 27/10/2016 and G.O.(Rt)No. 850/2016/WRD, Dated 28/10/2016	Kerala Water Authority	10

47	WRD010-04	Comprehensive WSS to Thachanattukara Alanallur and Kottopadam Panchayats in Mannarkad Taluk in Palakkad District	G.O.(Rt)No. 846/2016/WRD, Dated 27/10/2016 and G.O.(Rt)No. 850/2016/WRD, Dated 28/10/2016	Kerala Water Authority	22
48	WRD010-05	Water Supply Scheme to Tanur Municipality and adjoining 4 Panchayats in Malappuram District Phase-1	G.O.(Rt)No. 846/2016/WRD, Dated 27/10/2016 and G.O.(Rt)No. 850/2016/WRD, Dated 28/10/2016	Kerala Water Authority	100
<b>Sub -Total</b>					<b>185</b>
<b>Total</b>					<b>1257.10</b>
<b>GRAND TOTAL</b>					<b>4004.86</b>


**Dr. K.M. ABRAHAM**  
**FUND MANAGER**

To

The Secretaries of Departments concerned  
The Joint Secretary to Additional Chief Secretary (Finance)  
✓The Chief Executive Officer, KIIFB  
Office Copy/ Stock File

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Section Officer



## Annexure

Sl.No.	Department	SPV Name	SPV Abbreviation
1	Health and Family Welfare	KERALA MEDICAL SERVICES CORPORATION LIMITED	KMSCL
2	Public Works	KERALA RAPID TRANSIT CORPORATION LIMITED	KRTL
3		KERALA ROAD FUND BOARD	KRFB
4	Information Technology	KERALA STATE INFORMATION TECHNOLOGY INFRASTRUCTURE LTD	KSITIL
5	Water Resources	KERALA WATER AUTHORITY	KWA
6	Forest	STATE FOREST DEVELOPMENT AGENCY KERALA	SFDAKRLA

SM 2



GOVERNMENT OF KERALA

Abstract

Kerala Infrastructure Investment Fund Board (KIIFB) – Implementation Procedure for carrying out infrastructure plans –Approval--Orders issued.

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FINANCE (INFRASTRUCTURE) DEPARTMENT

G.O.(Ms) No315/2016/Fin.

Dated, Thiruvananthapuram, 08/08/2016

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Read: Kerala Infrastructure Investment Fund Act 1999 (Amendment Ordinance 2016)

ORDER

In the Revised Budget Speech of 2016-17, Government has announced several infrastructure investment proposals for financing by Kerala Infrastructure Investment Fund Board (KIIFB). It is found necessary to issue guidelines for ensuring necessary standardisation and efficiency in the preparation, processing and implementation of project proposals of the various Departments, PSUs and other public agencies of the State which have been permitted to avail of funding through KIIFB by Government.

Government are pleased to issue the general guidelines given as annexure hereto for availing the assistance of Kerala Infrastructure Investment Fund Board.

(By Order of the Governor)

Anie Jula Thomas

Joint Secretary (Finance)

To

The Principal Accountant General (A&E), Kerala, Thiruvananthapuram  
The Principal Accountant General (G & SSA), Kerala, Thiruvananthapuram  
The Accountant General (E & RSA) Kerala, Thiruvananthapuram  
The General Administration (SC) Department, *vide item No.....*  
The Fund Manager (KIIFB)  
The Joint Secretary to Additional Chief Secretary (Finance)  
The Nodal Officer ([www.finance.kerala.gov.in](http://www.finance.kerala.gov.in))  
Stock File/ Office copy

Forwarded/By Order

  
Section Officer



## ANNEXURE

# **Guidelines for availing financial resources from KIIFB**

### **I. STAGE-I: Approval of projects for financial resources**

#### **Eligible Projects**

1. Eligible Projects shall be those projects which are identified in the Annual Budget.
2. For projects identified in the Budget or Budget Announcements for inclusion under KIIFB financing, the Administrative Department may issue the Administrative Sanction directly without further consultation with Finance Department, as specified below.
3. Projects which have not been taken up in the Budget may be taken up in only in exceptional cases. In such cases, the Administrative Department may after consultation with the Finance Department and with the approval of the Chief Minister, place such projects for consideration of the Council of Ministers.
4. Eligible projects as defined in the KIIFB (Amendment) Ordinance 2016 shall be of size of at least Rs.100 cr.

An eligible project can be a collection of smaller projects, which shall be not less than Rs.10 cr. in size.

For the purpose of reckoning size of Rs.10 cr., for sectors like Health, Education, Scheduled Castes/Tribes Development a cluster of units can make up a unit of Rs.10 cr.

E.g. The project for converting more than one schools into centres of excellence can be clubbed to make up a unit of Rs.10 cr.

#### **1. Step 1: Options Analysis**

Where, as mentioned above, in exceptional circumstances, an Administrative Department proposes a project that has not been included in the budget, it should perform an analysis of all the options available to it in respect of such project. Once the option is identified, there should be a preliminary study i.e., a Pre-Feasibility Study to assess the project.

## 2. Step 2: Pre-feasibility Study

- a. Pre-feasibility is a study which is not elaborate but normally conducted at the department or sponsor level. This is more of an assessment rather than a scientific detailing. Nonetheless, there should be preliminary assessment of the various financial indicators as well.
- b. A Pre-Feasibility Study should ideally cover the following aspects:
  - a. Technical Pre-feasibility
    - The engineering and technical aspects as envisaged;
    - New technology, if any;
    - Places where it has been implemented;
    - Operational aspects and ease / difficulty of managing the same;
    - Technical and operational risks summary
  - b. Pre-Financial Feasibility
    - Approximate costs of the project;
    - Financial risks in the project;
    - Private sector interest in the project;
    - Identification of socio economic benefits
- c. The pre-feasibility analysis can be conducted by the sponsor themselves or can be done through an outsourced consultant. The department may carry out the evaluation themselves if there is enough data available to conduct it themselves.

## 3. Step 3: Project Concept Note

- a. The Project Concept Note covers the basics of the project in terms of type of infrastructure and service to be provided. The Project Concept Note is expected to answer some basic questions of the project. It should contain all the departmental information that may be available at that point of time. If a feasibility study is available for the same, then the feasibility report will be an additional input along with the Project Concept Note.
- b. Project Concept Note is a summary of the project that is being initiated by the Government through an SPV, based on inputs from a study conducted (on its own or by a third party). It essentially gives a brief of the project and its boundaries.

- c. For projects which have not been mentioned in the Budget or Budget Announcements, Administrative Department takes this Project Concept Note along with any Pre-Feasibility studies, for seeking in-principle approval of the cabinet for taking up the project.
- d. It is reiterated that for projects mentioned in the Budget or Budget Announcements, the Administrative Department may satisfy itself of the pre-feasibility and issue Administrative Sanction.

**4. Step 4: Identification of Special Purpose Vehicle (SPV)**

- a. Along with the Project Concept Note, the Administrative Department should identify the Special Purpose Vehicle that will execute the project.
- b. Based on the requirements of the project, the Department shall, either set up a new Special Purpose Vehicle (SPV) or utilise the services of an existing SPV for the purpose of implementing the project. The SPV will plan, appraise, approve, implement, manage, operate, monitor and evaluate the project entrusted to it. The SPV will be headed by a full time CEO and will have nominees of the Government on it.
- c. The execution of projects may be done through joint ventures, subsidiaries, public-private partnership (PPP), turnkey contracts, etc suitably dovetailed with revenue streams.
- d. The SPV will be a limited company incorporated under the Companies Act, 2013 in which the Government, LSGs, PSUs, promoters, etc have suitable equity shareholding. The private sector or financial institutions could be considered for taking equity stake in the SPV.
- e. Complete flexibility shall be given to the SPV to implement and manage the project.
- f. For procurement of goods and services, transparent and fair procedures as prescribed under existing rules shall be followed.

**5. Step 5: Administrative Department accords in-principle approval**

- a. Once the project note is ready the Administrative Department shall examine and process the same along with a note identifying the Special Purpose Vehicle, for seeking in-principle approval of the Cabinet.
- b. Thereon the Administrative Department shall accord sanction for conducting detailed feasibility study and preparation of the Detailed Project Report (DPR). This approval is the first step towards registration and acknowledgement of the project by KIIFB.



## **II. STAGE-II: SPV prepares RFP for engaging Consultant where necessary:**

1. Once the SPV has been determined and entrusted with the implementation of the project, the SPV may either prepare the Detailed Project Report (DPR) on its own or submit a proposal for obtaining the services of Consultants for the various purposes connected with the project such as Project Consultancy, Planning, Implementation, Procurement, Management and Advisory Services.
2. The RFP shall basically have the following components:
  1. Brief Project Overview
  2. Organization's Background
  3. Project Goals & Target Audience
  4. Sitemap
  5. Scope of Work & Deliverables
  6. Timeline
  7. Technical Requirements
  8. Criteria for Selection
  9. Format & Proposal Timeline
  10. Check List
3. The SPV can also make use of the Standard RFP prepared by KIIFB as a guide for preparing the RFP suited to their specific requirements. The assistance of KIIFB will also be readily available in this regard. A copy of the Standard RFP is attached as ANNEXURE.

## **III. STAGE III Preparation of Detailed Project Report (DPR) by SPV**

1. The SPV may adopt format that is appropriate to the project with the approval of the Secretary of the Administrative Department.
2. However, detailed guidance formats will be issued later under the Scheme to be modified under the KIIFB Act, 1999. [Administrative Department or the designated SPV need not wait for such notification.]

#### **IV. STAGE IV: Assessment of project by KIIFB**

The Project Evaluation Team in KIIFB shall examine the DPR and check for the feasibility, financial analysis and relevant details.

#### **V. STAGE VI: Approval of the Project by KIIF Board**

The Proposal duly examined by the Project Evaluation Team in KIIFB is placed before the KIIF Board chaired by the Hon'ble Chief Minister. This Board will be the sole authority to accord sanction for financing of the project through the Fund.

#### **VI. STAGE VI: Tender, Contract, Concession Agreement by SPV as are applicable**

1. Once the approval of the KIIFB is obtained regarding funding and other procedures regarding implementation of the project, the SPV shall register on the Direct Benefit Transfer (DBT) Portal of KIIFB.
2. Thereafter, the SPV can finalise the tender, Contracts and Concession Agreement and starts implementation.
3. The SPV shall also register the vendors/contractors on the DBT portal for purposes payment. (Detailed guidance will follow.)
4. Payments approved by SPV are released to the bank account of the vendor/contractor from the KIIFB Bank Account. (To avoid delay involved in borrowing project specific funds, initial payments can be met from the corpus of KIIFB).

#### **VII. STAGE VII: Review of Implementation of the Project**

1. The Executive Committee of KIIFB chaired by Minister (Finance) will periodically review the progress of the project and oversee the release of funds.
2. The Executive Committee may appoint an Inspection Authority to examine the utilisation of funds.

*Urdu*