

പതിനാലാം കേരള നിയമസഭ
പതിമൂന്നാം സമ്മേളനം

നക്ഷത്രചിഹ്നമിടാത്ത ചോദ്യം നം. 627

29.11.2018-ലെ മറുപടിയിൽ

ഗ്രാമപഞ്ചായത്തുകളിലെ ഇൻഡോർ സ്റ്റേഡിയം നിർമ്മാണം

ചോദ്യം		മറുപടി	
ശ്രീ.സി.കെ. ഹരിന്ദ്രൻ		ശ്രീ. ഇ.പി. ജയരാജൻ	
		ബഹു.വ്യവസായവും വാണിജ്യവും കായികവും യുവജനകാര്യവും വകുപ്പ് മന്ത്രി	
(എ)	ഓരോ ഗ്രാമപഞ്ചായത്തിലും ഓരോ ഇൻഡോർ സ്റ്റേഡിയം നിർമ്മിക്കുന്നതിനായി പദ്ധതി ആവിഷ്കരിച്ചിട്ടുണ്ടോ; എങ്കിൽ വിശദാംശങ്ങൾ ലഭ്യമാക്കാമോ?	(എ)	പ്രത്യേക നിക്ഷേപ പദ്ധതിയിൽ ഉൾപ്പെടുത്തി എല്ലാ പഞ്ചായത്തിലും കളിക്കളം നിർമ്മിക്കുന്നതാണെന്നും 2016-17 സാമ്പത്തിക വർഷത്തെ ബഡ്ജറ്റ് പ്രസംഗത്തിലെ പ്രഖ്യാപനത്തിന്റെ അടിസ്ഥാനത്തിൽ 2016-17, 2017-18 സാമ്പത്തിക വർഷങ്ങളിലായി 42 പഞ്ചായത്തുകളിൽ സ്റ്റേഡിയം നിർമ്മിക്കുവാൻ തീരുമാനിച്ചിട്ടുണ്ട്. വിശദാംശങ്ങൾ അനുബന്ധം-I ആയി ഉൾക്കൊള്ളിച്ചിട്ടുണ്ട്.
(ബി)	ആയതിലേയ്ക്കായി നിശ്ചയിച്ചിട്ടുള്ള മാനദണ്ഡങ്ങൾ എന്തൊക്കെയാണെന്ന് അറിയിക്കാമോ?	(ബി)	സ്ഥലം ലഭ്യതയുടെയും അന്തിമമായി കിഫ്ബിയുടെ അംഗീകാരത്തിനും വിധേയമായാണ് സ്റ്റേഡിയം നിർമ്മാണം ആരംഭിക്കുന്നത്. കിഫ്ബി ധനസഹായം അനുവദിക്കുന്നതിന് നിശ്ചയിച്ചിട്ടുള്ള മാർഗ്ഗനിർദ്ദേശങ്ങൾ അനുബന്ധം-II ആയി ചേർക്കുന്നു.


സെക്ഷൻ ഓഫീസർ

നം	പദ്ധതിയുടെ പേര്	രേണാനുമതി തുക (കോടിയിൽ)	കിഫിബിയിൽ സമർപ്പിച്ച തുക	കിഫിബി അംഗീകരിച്ച തുക	സാങ്കേതികാനുമതി ലഭിച്ച തുക	അടങ്കൽ തുക	കരാർ തുക	പ്രവർത്തികളുടെ നിലവിലെ സ്ഥിതി
പ്രവർത്തി തുടങ്ങിയ പദ്ധതികൾ (7 എണ്ണം)								
പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (7 എണ്ണം)								
1	നിലേശ്വരം ഇ.എം.എസ്. സ്റ്റേഡിയം	20.76	20.55	17.04	17.02	10.13	9.61	നിർമ്മാണ പ്രവർത്തികൾ പുരോഗമിച്ച് വരുന്നു
2	വയനാട് ജില്ലാ സ്റ്റേഡിയം	18.73	18.67	18.67	18.62	13.64	13.75	
3	എടപ്പാൾ ഗവ. എച്ച്.എസ്.എസ്. മലപ്പുറം	15.69	6.82	6.82	6.82	6.47	5.78	
4	തിരുമിറ്റക്കോട് ചാത്തന്നൂർ എച്ച്.എസ്.എസ്.		8.87	8.87	8.85	3.57	3.38	
5	കൊടുമൺ സ്റ്റേഡിയം	15.86	15.82	14.10	14.07	7.58	7.31	
6	ധർമ്മടം അബു ചാത്തുക്കുട്ടി സ്റ്റേഡിയം	5	5.26	5.49	5.49	3.94	3.67	
7	കുത്തുപറമ്പ് മുനിസിപ്പൽ സ്റ്റേഡിയം	5	5.39	5.34	5.34	5.33	5.06	
വർക്ക് നൽകിയവയിൽ നിർമ്മാണോദ്ദേശം നടത്താനുള്ളവ								
പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (4 എണ്ണം)								
1	നിലമ്പൂർ മിനി സ്റ്റേഡിയം	17.26	17.24	18.30	18.30	12.58	12.30	പദ്ധതി ശ്രീ. ഷക്കീറിന് നൽകിയിട്ടുണ്ട് പദ്ധതി 10/3 സ്കായർ അസ്സോസിയേറ്റ്സിന് നൽകിയിട്ടുണ്ട് പദ്ധതി യംപി ലക്ഷ്മണൻ & കവനിക്കൽ നൽകിയിട്ടുണ്ട് പദ്ധതി 10/3 സിറ്റുകോ അസ്സോസിയേറ്റ്സിന് നൽകിയിട്ടുണ്ട്
2	പീതികുളങ്ങര സ്കൂൾ സ്റ്റേഡിയം	രണ്ട് പദ്ധതികൾക്കായി 14.17	7.88	5.75	5.39	3.94	3.82	
3	തലശ്ശേരി വി.ആർ. കൃഷ്ണയ്യർ സ്റ്റേഡിയം	13.20	13.05	13.05	13.04	6.8	6.62	
4	ചാലക്കുടി മുനിസിപ്പൽ സ്റ്റേഡിയം	5	10.4	9.58	9.57	9.56	9.34	
വർക്ക് നൽകുവാനുള്ളത്								
പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (1 എണ്ണം)								
1	ഐയ്മനം പഞ്ചായത്ത് സ്റ്റേഡിയം	11.54 രണ്ട് പദ്ധതികൾക്കായി	8.43	4.97	4.97	4.96	5.36	വീ ടെണ്ടർ ചെയ്ത പദ്ധതികൾ വീ ടെണ്ടറിൽ ഏറ്റവും കുറഞ്ഞ റേറ്റ് രേഖപ്പെടുത്തിയ കോൺട്രാക്ടർ അടങ്കൽ തുകയെക്കാൾ 4% കൂടുതലാണ് രേഖപ്പെടുത്തിയിട്ടുള്ളത് ആയതിനാൽ വർക്ക് ഓർഡർ നൽകുന്നതിന് കിഫിബിയുടെ അംഗീകാരം ആവശ്യമാണ്
വീ ടെണ്ടർ ചെയ്ത പദ്ധതികൾ								
പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (3 എണ്ണം)								
1	ആലപ്പുഴ ഇ.എം.എസ് സ്റ്റേഡിയം രണ്ടാം ഘട്ടം	14.17 രണ്ട് പദ്ധതികൾക്കായി	8.62	8.62	8.61	3.92	ടെണ്ടർ പ്രവർത്തികൾ പൂർത്തീകരിച്ചു വീ ടെണ്ടർ പ്രവർത്തികൾ നടന്നു കൊണ്ടിരിക്കുന്നു വീ ടെണ്ടർ പ്രവർത്തികൾ നടന്നു കൊണ്ടിരിക്കുന്നു	
2	കണ്ണൂർ ജവഹർ സ്റ്റേഡിയം	11.10	11.31	10.64	10.63	5.64		
3	ചിറ്റൂർ ഗവ. കോളേജ് സ്പോർട്സ് കോംപ്ലക്സ്.	14.35	14.95	5.54	5.54	4.77		
സാങ്കേതിക അനുമതി ലഭിച്ച പദ്ധതികൾ								
പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (5 എണ്ണം)								
1	നടുവണ്ണൂർ വേലായുധൻ അറബിക്	10.63	9.26	9.26	9.24	ടെണ്ടർ നടത്തിയിട്ടുണ്ട് ആരംഭിച്ചിട്ടുണ്ട് ടെണ്ടർ നടത്തിയിട്ടുണ്ട് ടെണ്ടർ നടത്തിയിട്ടുണ്ട്		
2	നെടുങ്കണ്ടം സ്റ്റേഡിയം	5	9.4	9.4	9.3			

3	മട്ടന്നൂർ സ്റ്റേഡിയം	5	4.23	4.12	4.07			ടെണ്ടർ നടപടികൾ ഉടൻ ആരംഭിക്കുന്നതാണ്
4	പുനലൂർ മുനിസിപ്പൽ സ്റ്റേഡിയം	10	6.85	5.634	5.62			ടെണ്ടർ നടപടികൾ ഉടൻ ആരംഭിക്കുന്നതാണ്
5	പറളി സ്കൂൾ, പാലക്കാട്	10	6.93	6.937	6.58			ടെണ്ടർ നടപടികൾ ഉടൻ ആരംഭിക്കുന്നതാണ്

സാങ്കേതികാനുമതി ലഭിക്കുവാനുള്ളവ

പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (4 എണ്ണം)

1	പള്ളിപ്പുറം എഞ്ചിനീയറിംഗ് കോളേജ് ഗ്രൗണ്ട് അകൂർ	16.83	18.83	7.67				24.10.2018 ന് കീഫ്ബി അംഗീകാരം ലഭിച്ചിട്ടുണ്ട്
2	പടിയൂർ ഇൻഡോർ സ്റ്റേഡിയം	ഭരണാനുമതി ലഭിച്ചിട്ടുണ്ട് എന്നാൽ തുക വ്യക്തമാക്കിയിട്ടില്ല	4.16	4.932				24.10.2018 ന് കീഫ്ബി അംഗീകാരം ലഭിച്ചിട്ടുണ്ട്
3	പുല്ലുമാംപാറ തിരുവമ്പാടി	ഭരണാനുമതി ലഭിച്ചിട്ടുണ്ട് എന്നാൽ തുക വ്യക്തമാക്കിയിട്ടില്ല	6.09	6.113				24.10.2018 ന് കീഫ്ബി അംഗീകാരം ലഭിച്ചിട്ടുണ്ട്
4	താനൂർ സ്റ്റേഡിയം	19.14	10.58	10.07				നീ ടെണ്ടറിൽ ഏറ്റവും കുറഞ്ഞ റേറ്റ് രേഖപ്പെടുത്തിയ കോൺട്രാക്ടർ അടങ്കൽ തുകയെക്കാൾ 5% കൂടുതൽ രേഖപ്പെടുത്തിയതിനാൽ പദ്ധതിക്ക് പുതുക്കിയ സാമ്പത്തിക അനുമതിയ്ക്കായി സമർപ്പിക്കേണ്ടതാണ്.

ബജറ്റ് 2017-18 ലെ പദ്ധതികൾ (3 എണ്ണം)

1	മേപ്പയൂർ സ്കൂൾ, കോഴിക്കോട്	10	6.59	6.446				24.10.2018 ന് കീഫ്ബി അംഗീകാരം ലഭിച്ചിട്ടുണ്ട്
2	പയ്യന്നൂർ ഫുട്ബോൾ സ്റ്റേഡിയം, കണ്ണൂർ	10	6.54	13.1				24.10.2018 ന് കീഫ്ബി അംഗീകാരം ലഭിച്ചിട്ടുണ്ട്
3	പയ്യന്നൂർ മുനിസിപ്പൽ സ്റ്റേഡിയം	10	6.03					24.10.2018 ന് കീഫ്ബി അംഗീകാരം ലഭിച്ചിട്ടുണ്ട്

കീഫ്ബി അംഗീകാരം ലഭിക്കുവാനുള്ള പദ്ധതികൾ (3 എണ്ണം)

പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (3 എണ്ണം)

1	മൾട്ടിപ്പിൾ ഇൻഡോർ സ്റ്റേഡിയം, പട്ടാമ്പി കോളേജ്	10	11.23					വിശദമായ പ്രോജക്ട് റിപ്പോർട്ട് കീഫ്ബിയിൽ സമർപ്പിച്ചിട്ടുണ്ട്
2	ആറ്റിങ്ങൽ ശ്രീപാദം സ്റ്റേഡിയം	11.54 രണ്ട് പദ്ധതികൾക്കായി	5.5					വിശദമായ പ്രോജക്ട് റിപ്പോർട്ട് കീഫ്ബിയിൽ സമർപ്പിച്ചിട്ടുണ്ട്
3	അമ്പലപ്പുഴ ഗവൺമെന്റ് കോളേജ് ഗ്രൗണ്ട്	5	4.90					വിശദമായ പ്രോജക്ട് റിപ്പോർട്ട് കീഫ്ബിയിൽ സമർപ്പിച്ചിട്ടുണ്ട്

പ്രോജക്ട് റിപ്പോർട്ട് സമർപ്പിക്കുവാനുള്ള പദ്ധതികൾ

പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (1 എണ്ണം)

1	പാലക്കാട് ഇൻഡോർ സ്റ്റേഡിയം	5						പദ്ധതിയിടപാടുകളുടെ വിവരം
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ബജറ്റ് 2017-18 ലെ പദ്ധതികൾ (4 എണ്ണം)							കിറ്റ്കോ തയ്യാറാക്കി വരുകയാണ്.
1	ഹറൂവ് ഗണപതി സ്കൂൾ, കോഴിക്കോട്	10					പദ്ധതിയ്ക്കായുള്ള റിപ്പോർട്ട് കിറ്റ്കോ തയ്യാറാക്കി വരുകയാണ്.
2	തിരുർ ഫുട്ബോൾ സ്റ്റേഡിയം, മലപ്പുറം	10					
3	വടകര ഇൻഡോർ സ്റ്റേഡിയം, കോഴിക്കോട്	10					
4	ശ്രീനാരായണ നഗരം ഇൻഡോർ സ്റ്റേഡിയം	10					
തടസ്സങ്ങൾ നേരിടുന്ന പദ്ധതികൾ പഞ്ചായത്തിന്റെ അധീനതയിലുള്ള കളി സ്ഥലം (6 എണ്ണം)							കരാർ ഉടമ്പടി പഞ്ചായത്തിനും പോളീ ടെക്നിക്കിനും അയച്ചിട്ടുണ്ട്
1	കോതമംഗലം പേലാട് സ്റ്റേഡിയം						സ്ഥലം ഏറ്റെടുക്കുന്നതിനുള്ള സ്ഥലം ഉത്തരവിനായി നാർക്കരീന് സമർപ്പിച്ചിട്ടുണ്ട്. <i>ശ്രീഗണപതി</i> സ്ഥലത്തിന്റെ ഉടമസ്ഥാവകാശത്തെ കുറിച്ചുള്ള തർക്കം നിലനിൽക്കുന്നു സി. ആർ. ഇസ്ഖ്. വിഷയം പരിയ്ക്കാനായി കിറ്റ്കോയെ ചുമതലപ്പെടുത്തിയിട്ടുണ്ട് പദ്ധതിയ്ക്കായുള്ള സ്ഥലം കണ്ടെത്തേണ്ടതുണ്ട് മുനിസിപ്പാലിറ്റിയുടെ സ്ഥലം ഏറ്റെടുക്കൽ നടപടികൾ പൂർത്തിയാക്കാനെടുക്കാനും പദ്ധതി കരാറുകാരന് സർകുവാൻ കഴിയുന്നില്ല
2	ആലപ്പുഴ റോവിംഗ് ട്രാക്ക്	10		8			
3	എളങ്കുന്നപ്പുഴ സാനിറ്റേഷൻ ഗ്രൗണ്ട്.	5					
4	പൊന്നാനി നിളാതീരം അക്വാട്ടിക് സ്പോർട്സ് കോംപ്ലക്സ്						
5	കൊറ്റൻകര മിനി സ്റ്റേഡിയം						
6	അടൂർ മുനിസിപ്പൽ സ്റ്റേഡിയം	13.32	13.33	9.69	9.69	5.05	
ബജറ്റ് 2017-18 ലെ പദ്ധതികൾ (1 എണ്ണം)							കെട്ടിടത്തിന്റെ നിലവിലുള്ള അവസ്ഥയെക്കുറിച്ചുള്ള റിപ്പോർട്ട് കിറ്റ്കോ ഗവൺമെന്റിലേക്ക് സമർപ്പിച്ചിട്ടുണ്ട്
1	തൃശ്ശൂർ അക്വാട്ടിക് കോംപ്ലക്സ്	20					

27/11/18.

[Signature]
S.O



GOVERNMENT OF KERALA

Abstract

Finance (Infrastructure) Department - Kerala Infrastructure Investment Fund Board (KIIFB) – Procedures and Guidelines for KIIFB Projects modification - Sanctioned - Orders Issued.

FINANCE (INFRASTRUCTURE) DEPARTMENT

G.O.(Ms)No. 69/2018/Fin

Dated, Thiruvananthapuram. 24.02.2018

Read:- 1. Kerala Infrastructure Investment Fund (Amendment) Act 2016

2. G.O(Ms) No. 315/2016/Fin dated 08.08.2016

ORDER

As per the Government Order read as 2nd paper above, Government has issued Guidelines for ensuring necessary standardisation and efficiency in preparation, processing and implementation of proposals of projects funded by Kerala Infrastructure Investment Fund Board (KIIFB).

Several infrastructure projects have been approved by Government to be executed in Public Sector Mode with the financial assistance of Kerala Infrastructure Investment Fund Board (KIIFB). The projects are to be executed through Special Purpose Vehicles (SPVs). As these organizations are under the control of the State/Central Government, they are bound to follow the rules, guidelines and norms applicable for execution of public works following PWD/CPWD guidelines and various directions by the State Government, Central Government, Comptroller & Auditor General, National Green Tribunal, Central Vigilance Commission, State Pollution Control Board, etc. However, it is observed that their internal procedures for execution of works and contract management are not uniform. It is therefore found necessary to issue the revised procedures and guidelines for planning & execution of KIIFB assisted infrastructure projects by the various SPVs.

[REDACTED]

Government have examined the matter in detail and are pleased to issue the revised procedures and guidelines given as annexure hereto in supersession of the Guidelines issued vide the G.O. read as 2nd paper above.

By order of the Governor

**MANOJ JOSHI
PRINCIPAL SECRETARY**

To

The Principal Accountant General (A&E), Kerala, Thiruvananthapuram
The Principal Accountant General (G&SSA), Kerala, Thiruvananthapuram
The Accountant General (E & RSA) Kerala, Thiruvananthapuram
All Heads of Department and Offices
All departments of Secretariat
All Private Secretaries to Ministers
Private Secretary to Chief Minister
All Secretaries to Government
SPV's Concerned
The Principal Secretary (Finance)
The Nodal Officer, (www.finance.kerala.gov.in)
The Chief executive Officer, KIIFB
Stock File/Office Copy

Forwarded / By Order



Section Officer

ANNEXURE
PROCEDURES & GENERAL GUIDELINES

1. Project Registration with KIIFB

1.1. Projects can be registered with KIIFB provided they satisfy the conditions as per the Kerala Infrastructure Investment Fund Act 1999 as amended vide the Kerala Infrastructure Investment Fund (Amendment) Act, 2016. Such projects should have either been declared in the Budget Speech or approved by the Council of Ministers for funding by KIIFB. The registration of the project shall be initiated in the online KIIFB Project Portal after Administrative Sanction is accorded for the Project/Sub-Project/Project component. The minimum Project cost shall be above Rs.100 crore and that of a sub-project not less than Rs.10 crore.

1.1.1. On communication of the Administrative Sanction to KIIFB, the Administrative Department will be given the Department User default login credentials by KIIFB for gaining access to the Project Profile in the Project Portal. The project will have a unique code number which shall be quoted in all further communications and documents relating to the project.

1.1.2. The Department User uploads the Administrative Sanction and preliminary details/concept note of the project.

1.2. The Special Purpose Vehicle (SPV) for implementing the project shall be identified by the Administrative Department and declared in the Administrative Sanction for the project.

1.2.1. Creation of SPV profile by the Administrative Department.

- Alongwith uploading the project details the Department User will register the SPV in the Project Portal and submit the SPV Profile.

1.2.2. Confirmation of SPV and generation of user login for SPV Administrator User by KIIFB.

KIIFB will verify the details and confirm the SPV registration. Thereafter, the default SPV login and user credentials will be generated and communicated to the registered E-mail address of the designated SPV Administrator User.

2. Executing Tripartite Agreement

2.1. Pursuant to issuing the Administrative Sanction, Tripartite Agreement as per G.O. (Ms) No. 333/2017/Fin Dated 16-07-2017 shall be signed between the SPV, the Administrative Department and KIIFB for implementing the project.

2.2. The Tripartite Agreement shall include two schedules, Schedule-1 shall contain details of all the Administrative Sanctions issued with respect to the Project and Schedule-2 shall contain details of Project components/sub-projects in accordance with each respective Technical Sanction. The

schedules and additions thereof shall be signed by all the parties as and when they are added to the Tripartite Agreement. The Administrative Sanctions as well as the Technical Sanctions shall be attached to the respective schedules. Additional schedules, if any, forming part of Schedule- 1 and Schedule-2 will be given English alphabetic numbering along with the respective schedule number (eg. Schedule 1 (a),1(b); Schedule 2(a), 2(b) and so on after Schedule-1 and Schedule-2 respectively).

- 2.3. Schedule-1 may be signed between the parties after the grant of Administrative Sanction and Schedule-2 shall be signed immediately after issuing of the Technical Sanction (TS) of the Project/Sub-Project/ Project component.
- 2.4. The date of signing and attaching the Schedule of the Project/ Sub-Project/ Project component to the Tripartite Agreement shall be considered as the start for all tracking and progress monitoring purposes.

3. Preparation of Detailed Project Report (DPR)

3.1. Detailed Project Report (DPR) shall be prepared by the SPV for all Projects identified for implementation by availing assistance (partly/fully) from KIIFB. Separate DPRs shall be prepared for Sub-Projects/ Project components if sanctioned separately. The DPR shall be a comprehensive document containing a detailed estimate (sufficient for technical sanction purpose) including the details as specified below wherever relevant. Any specifically relevant additional information shall also be included in addition to the below listed details:-

- o Salient Features / Executive Summary
- o Requirement/Demand Analysis
- o Functional Design
- o Engineering Design
- o Value Engineering
- o Revenue Streams Evaluation
- o Financial Estimates & Cost Projection
- o Investment Criteria & Cost Benefit Analysis
- o Risk Assessment & Mitigation Plans
- o Environmental Aspects & Sustainability
- o Statutory Clearances & Mandatory Approvals
- o Work Breakdown Structure (WBS) & Implementation Schedule
- o Project Management Organization & Plan
- o Contract Management Methodology
- o Quality Management Plans
- o Operation & Maintenance Strategy

- 3.2. General templates for DPR for certain select sectors are available in the KIIFB website for reference.
- 3.3. The DPR shall also mention if the project is receiving or likely to receive any alternate/additional funds for meeting partial cost of the project or any part of the project which has been proposed or already completed.
- 3.4. In general, the cost of construction, equipment purchase, cost for shifting of any utilities, land acquisition etc. shall be permitted to be included in the total cost sanctioned. Any preliminary expense for engineering and consultancy including preparation of DPR, cost of establishment / project management charges, cost of quality control etc. shall not be included in the project cost and should be met from the centage charges payable to the SPV as per G.O.(P) No. 61/2017/Fin. Dated 09-05-2017.

4. Project Submission, Appraisal & Funding

- 4.1. After obtaining Administrative Sanction, SPV shall prepare and submit Project/Sub-Project/Project component details as the case may be and its DPR in the Project Portal.
- 4.2. On evaluation of DPR by KIIFB, a Technical Appraisal Report (TAR) will be issued online and clarification/rectification, if any proposed therein, shall be submitted by the SPV as a Compliance Report to KIIFB.
- 4.3. After satisfactory completion of appraisal, the Project will be submitted to the Executive Committee/ Board of KIIFB for approval of funding by KIIFB.
- 4.4. If the Executive Committee/Board is of the view that additional documents are required for the submitted projects, the same may be called for from the SPV.
- 4.5. After funding approval for the Project is accorded by the Executive Committee/Board, KIIFB shall issue a sanction order which shall include the approved project cost and other project details.
- 4.6. The SPV User shall upload in the Project Portal a Baseline-0 Work Breakdown Structure (WBS) of the Project/Sub-Project/Project component in accordance with the DPR. The WBS shall be prepared in MS Project Software.

Note: The Executive Committee is delegated with powers for sanctioning non-revenue generating projects costing upto Rs. 100 crore only. All revenue generating projects will be approved by the Board irrespective of the estimated cost.

5. Land Acquisition

- 5.1. On obtaining Administrative Sanction for the project, SPVs may take advance action for land acquisition proposed for the project by submitting the proposal as a separate sub-project and take

immediate necessary action to obtain separate funding approval from KIIFB for the land acquisition, without awaiting completion of formalities for funding approval for the whole project. For this, a preliminary report shall be submitted to KIIFB for appraisal and obtaining approval of the Executive Committee/Board for in-principle funding approval. This report shall contain the exact requirement of land (location, area, estimated acquisition rates and survey numbers, where available) along with the project components.

- 5.2. On approval of the land acquisition proposal by the Board/Executive Committee in-principle sanction for funding will be accorded by KIIFB, whereon the SPV shall commence the procedure for land acquisition.
- 5.3. Subsequently, the funds for land acquisition as well as the administrative costs thereof will be released by KIIFB to the Revenue authority concerned after the SPV submits the online payment invoice and SPV's connected sanction proceedings in the Project Portal when the relevant demands are raised by the Revenue authority against the LA requisition submitted to the Revenue authority by the SPV. The payment occurrence shall also be appropriately mapped by the SPV as a payment-milestone in the online WBS to enable KIIFB to release the funds accordingly.
- 5.4. The SPV shall invariably follow all applicable government rules and procedures of land acquisition.

6. Technical Sanction (TS)

- 6.1. Technical Sanction (TS) for a Project/Sub-Project/ Project component shall only be issued by the Competent Authority having delegation of powers granted by Government.
- 6.2. In the absence of such competent authority, AD shall constitute a Technical Committee. TS Authority for Civil/Electrical/Mechanical Works, shall as far possible have not less than three technically competent officials as below:
 - i. An officer in the rank of Chief Engineer having knowledge about the specific sector from an Engineering department under Government of Kerala. (In the event of non-availability of suitable officers, retired officers not below the rank of CE/SE from GoK/GoI respectively may be considered)
 - ii. A senior level technical person having the rank of CE or officer holding analogous post in SPV.
 - iii. An experienced independent professional having sector specific knowledge.
- 6.3. For all other works like Electronics, IT etc., Administrative Department shall constitute Technical Committee consisting of experts from the relevant fields.
- 6.4. TS shall be issued in the form of a proceedings by SPV. In cases of Civil Works, TS shall be issued following CPWD/PWD norms and in accordance with the provisions, design, scope, specifications and estimates in the DPR approved by KIIFB. The specifications adopted shall be

specified (CPWD/PWD/MORTH or any relevant standards as mentioned in DPR). TS process shall be initiated immediately upon approval of fund (expenditure sanction) has been accorded by KIIFB.

- 6.5. TS may be issued for the sub-projects separately or splitting the subprojects into packages/phases/work components for justifiable technical reasons. The estimate for TS shall include provisions and the components having sufficient details adequate for tendering process. Lumpsum provisions shall be avoided.
- 6.6. The correctness of rates, measurements, design, specifications etc. will be the sole responsibility of TS authority.
- 6.7. TS shall be issued only after finalising the Land Acquisition process and after ensuring the availability of all necessary statutory clearances.
- 6.8. The estimate rates adopted in the TS shall be as per PRICE software being used in Govt. of Kerala and any new item shall be introduced by incorporating it in PRICE. Where for any reason, any item cannot be included in PRICE, the Technical Committee shall specifically approve the item nomenclature and rate.
- 6.9. If there is an increase in the estimate cost as per TS which is beyond the corresponding approval as per KIIFB, prior approval of KIIFB is required to proceed with the tendering process.
- 6.10. Any substantial change in the scope, design, name of the project and other relevant parameters from the approved DPR shall be considered by TS authority with the prior approval of KIIFB only.
- 6.11. The increase in estimate cost up to 10% may be approved by the CEO of KIIFB based on specific justifiable technical requirements due to variation in scope, design, items and quantities, subject to ratification by Board. Any higher variation above shall require the approval of Executive Committee/Board.
- 6.12. In case of reduction in the estimate cost, the fund allotment will be deemed to be limited to that extent and earmarking and utilization of the savings for other components are not permissible.
- 6.13. TS authority shall review and take appropriate decisions on all important aspects referred to in the DPR, as issues to be finalized at TS Stage.
- 6.14. A working DPR refined based on the details of Technical Sanction along with abstract schedule (with item quantity and estimate rate only) and details of measurement, specifications, drawings adopted, detailed Work Break-down Structure (WBS) and program schedule in line with the KIIFB project management system and all other relevant details for implementation of the project as per the originally approved DPR shall be submitted to KIIFB.

7. Tendering & Contract Management

7.1. Tendering Process

- 7.1.1. The SPV shall follow a competitive e-tendering process strictly following the rules and guidelines prevailing in GoK/GoI.
- 7.1.2. The bidding documents shall be either as per CPWD/PWD norms or approved by the respective government department which controls the SPV. In case the SPV desires to follow their own standard bidding documents, it shall be ensured that no additional liabilities and contractual/legal risks are likely to arise due to the variations from the standard documents adopted in CPWD/PWD.
- 7.1.3. SPV shall ensure that adequate provisions are available in the bidding document for implementing the various provisions in the tripartite agreement.
- 7.1.4. There shall be adequate publicity and sufficient period for tendering as per CPWD/PWD guidelines shall be given for the bidders to effectively participate in the process.

7.2. Tender Acceptance

- 7.2.1. The acceptance of tenders shall be done at the Board level of SPV (acceptance authority) provided they are delegated with adequate powers as per prevailing norms.
- 7.2.2. In all other cases, the acceptance of tenders shall be done by a committee formed by the AD. The committee shall have not less than 3 members. The committee shall be headed by an officer from the AD having rank not less than Secretary to GoK. The other members shall include CE level officers from government and officer holding equivalent post in SPV. (In the event of non-availability of suitable government officers, retired CE/SE above level officers from GoK/GoI respectively may be considered)
- 7.2.3. The Lowest offered amount by the lowest quoted tenderer can be accepted by the committee provided, it is less than the justifiable amount worked out based on local market rates. (LMR Based Estimate shall be prepared confidentially and submitted to Tender Acceptance Committee/Acceptance authority before opening of the tenders).
- 7.2.4. When the lowest tender is within the justifiable amount above, but more than 10% above the corresponding provision available in the Technically Sanctioned Estimate the approval for acceptance/rejection / retender shall be given by the Administrative Department based on the specific recommendations of the tender acceptance committee/acceptance authority.
- 7.2.5. Tenders beyond justifiable market rate shall not be accepted. In exceptional circumstances if the tenders are to be accepted at a higher amount than the justifiable market rate the same shall be done with the approval of the Council of Ministers only.
- 7.2.6. If accepted amount is more than the TS amount, the AS and TS shall be revised by the respective competent authorities to the level of agreeable amount.
- 7.2.7. The tender acceptance process shall be completed adequately before the validity period of

the offer so that works can be awarded in the firm period itself.

7.2.8. The fund sanction will be revised at this stage to the accepted tender amount. The savings if any from the corresponding provision available in the technically sanctioned estimate shall not be used for execution of additional works under this contract or for other contracts under the sanction for the sub-project.

7.2.9. After tender acceptance, the SPV shall communicate to KIIFB all relevant details like credentials of the successful tenderer, bank account details, Quantities & Rates as per the accepted BoQ, etc.

8. Work Contract Agreement

8.1. Work contract agreement shall be signed between SPV and successful tenderer only.

8.2. Any revision in implementation schedule proposed by the contractor during the contract agreement finalising process (without increase in the total period of completion) shall be scrutinized by the SPV and intimated to KIIFB for approval.

Process in KIIFB Software:

8.2.1. The Contract and the Contractor details against each project/sub-project shall be entered by the SPV user.

8.2.2. Based on the agreement between the SPV and Contractor, a revised WBS specifically demarcating the payment milestones, without changing the total duration of the work as given in Baseline-0, shall be uploaded in the MS Project tool as Baseline-1.

9. Deviations in the Contract

9.1. Only with the prior approval of KIIFB which is based on specific recommendation of TS Committee, the total contract amount will be allowed to increase due to any deviations in quantities, extra items and substituted items. Deviations in quantity and extra/substituted items, etc. are permitted to the extent that the total contract amount shall not be exceeded and also will be subject to the following restrictions: -

9.2. Any item-wise quantity deviations beyond 25% of the agreement quantity, for each item, shall be permitted with the approval of TS committee only.

9.3. Any item wise quantity deviation leading to a variation of more than 1% of the contract amount shall be permitted with the approval of TS Committee only.

9.4. Extra/Substituted items each costing more than 1% of the contract amount shall be permitted with the approval of TS Committee only.

9.5. All deviations, extra items, substituted items, approved by the TS committee, shall be intimated to KIIFB.

9.6. Any savings due to reduction in the contract amount, due to decrease in agreement quantities or substitution of items, shall not be utilized for execution of additional works without prior approval of KIIFB.

- 9.7. Only with the approval of KIIFB, which is based on specific recommendations of TS Committee, specific contract clauses for deviation, escalation etc. will be allowed.

10. Payment Process

- 10.1. All the payments to the SPV and the Contractor will be online.
- 10.2. Based on the Contract Agreement, the Contractor shall submit the bill to the SPV. After examination of the Bill, the SPV shall issue proceedings for payment.
- 10.2.1. SPV user shall upload the proceedings issued by the SPV for payment along with the copy of the bill passed for payment by the SPV.
- 10.2.2. Details of the Bill, payment recommended, deductions, applicable taxes, retention money, cumulative details of payment already made, etc shall be entered by the SPV in the online invoice in the Project Portal.
- 10.2.3. After verification of the submitted details KIIFB will make online payment to the contractor direct to his designated bank account registered by the SPV in the Project Portal.
- 10.2.3.1. The statutory and other deductions in the bill will be given to the SPV by KIIFB for remitting the amount to the authorities concerned.
- 10.2.3.2. The retention amount will be transferred to the SPV alongwith the bill deductions and the same shall be released to the Contractor only after the completion of contractual obligations.
- 10.2.3.3. All retention money shall be maintained by the SPV in a separate non- interest bearing Spl.TSB Account in the Government Treasury only.
- 10.3. If the fund release requisitions are not received within 2 weeks after the specific payment milestone has expired, the payment will be deferred to the next payment milestone and no interim payment will be entertained in between the payment milestones.
- 10.4. The physical progress of the work will be compared against the payment milestone.
- 10.5. Executive Committee of KIIFB chaired by Minister (Finance) will periodically review the progress of the project and oversee the utilisation and release of funds.

11. Project Management & Review

11.1. Project Management Unit (PMU) in SPV

- 11.1.1. SPV shall constitute a Project Management Unit (PMU), which shall be responsible for the entire management of the project including planning, execution and monitoring the project and act as the nodal unit of SPV for coordinating with KIIFB.
- 11.1.2. SPV shall identify a Project Director under the PMU for each Project. The same person may also act as Project Director for other Projects under the SPV.
- 11.1.3. SPV shall designate an Execution Level Project Manager for each Project/subproject who

shall be responsible for co-ordinating with KIIFB for all field level inspection & related activities.

- 11.1.4. The details of Project Director & Project Manager for each Project shall be informed to KIIFB before commencement of project implementation activities and any change of such key personnel shall also be promptly intimated to KIIFB.

11.2. Progress Monitoring & Review

Process in KIIFB Software:

- 11.2.1. Project implementation will be monitored through the online project monitoring system.
- 11.2.2. The SPV shall assess the progress & update the progress in the online platform on a bi-weekly basis.
- 11.2.3. The SPV shall also submit the quarterly report in the prescribed format to the Administrative Department and KIIFB.

11.3 The Inspection Authority in KIIFB will monitor the execution of the project at various stages and its variation from agreed scope, design, timelines, cost, quality, contract, etc.

12. Closure of Contract

- 12.1. Approval of TS committee shall be obtained for closing of contract to ensure that the work has been executed as per the original scope, design and specifications.
- 12.2. All documentation required for enforcing the defects liability clause shall be completed before declaring the work execution contract as closed.
- 12.3. An estimate schedule with actually executed quantities and completion drawings shall be submitted to TS committee after closing the contract.
- 12.4. Only with the prior approval of KIIFB, which is based on the recommendation of a Technical Committee, extension of time shall be granted.

13. Completion of Project

- 13.1. Closure of all work contracts under a subproject is an essential prerequisite for declaring the completion of project.
- 13.2. The responsibility for the operation and maintenance of the project on the completion of its implementation shall be agreed upon between the Administrative Department and SPV or an agency identified by the AD and the terms shall be agreed upon at the time of Agreement.

14. Repayment Process

- 14.1. The repayment process shall be as per the DPR provisions and subject to the Tripartite Agreement.



GOVERNMENT OF KERALA

Abstract

Kerala Infrastructure Investment Fund Board (KIIFB) – Implementation Procedure for carrying out infrastructure plans – Approval-Orders – issued.

FINANCE (INFRASTRUCTURE) DEPARTMENT

G.O.(Ms) No.315/2016/Fin.

Dated: Thiruvananthapuram, 08/08/2016

Read: Kerala Infrastructure Investment Fund Act 1999 (Amendment Ordinance 2016)

ORDER

In the Revised Budget Speech of 2016-17, Government has announced several infrastructure investment proposals for financing by Kerala Infrastructure Investment Fund Board (KIIFB). It is found necessary to issue guidelines for ensuring necessary coordination and efficiency in the preparatory, processing and implementation of project proposals of the various Departments, PSUs and other public agencies of the State which have been permitted to avail of funding through KIIFB by Government.

Government are pleased to issue the general guidelines given as annexure hereto for availing the assistance of Kerala Infrastructure Investment Fund Board.

(By Order of the Governor)
Anie Jula Thomas
Joint Secretary (Finance)

To

The Principal Accountant General (A&F), Kerala, Thiruvananthapuram
The Principal Accountant General (G & SSA), Kerala, Thiruvananthapuram
The Accountant General (F & RSA) Kerala, Thiruvananthapuram
The General Administration (SC) Department, *vide Item No. 1000000000*
The Fund Manager (KIIFB)
The Joint Secretary to Additional Chief Secretary (Finance)
The Nodal Officer (www.finance.kerala.gov.in)
Stock File – Office copy

Forwarded/By Order



Section Officer

ANNEXURE**Guidelines for availing financial resources from KIIFB****1. STAGE-I: Approval of projects for financial resources****Eligible Projects**

1. Eligible Projects shall be those projects which are identified in the Annual Budget.
2. For projects identified in the Budget or Budget Announcements for inclusion under KIIFB financing, the Administrative Department may issue the Administrative Sanction directly without further consultation with Finance Department, as specified below.
3. Projects which have not been taken up in the Budget may be taken up in only in exceptional cases. In such cases, the Administrative Department may after consultation with the Finance Department and with the approval of the Chief Minister, place such projects for consideration of the Council of Ministers.
4. Eligible projects as defined in the KIIFB (Amendment) Ordinance 2016 shall be of size of at least Rs.100 cr.

An eligible project can be a collection of smaller projects, which shall be not less than Rs.10 cr. in size.

For the purpose of reckoning size of Rs.10 cr., for sectors like Health, Education, Scheduled Castes Tribes Development, a cluster of units can make up a unit of Rs.10 cr.

E.g. The project for converting more than one schools into centres of excellence can be clubbed to make up a unit of Rs.10 cr.

1. Step I: Options Analysis

Where, as mentioned above, in exceptional circumstances, an Administrative Department proposes a project that has not been included in the budget, it should perform an analysis of all the options available to it in respect of such project. Once the option is identified, there should be a preliminary study i.e., a Pre-Feasibility Study to assess the project.

2. Step 2: Pre-feasibility Study

- a. Pre-feasibility is a study which is not elaborate but normally conducted at the department or sponsor level. This is more of an assessment rather than a scientific detailing. Nonetheless, there should be preliminary assessment of the various financial indicators as well.
- a. A Pre-Feasibility Study should ideally cover the following aspects:
 - a. Technical Pre-feasibility
 - The engineering and technical aspects as envisaged;
 - New technology, if any;
 - Places where it has been implemented;
 - Operational aspects and ease/difficulty of managing the same;
 - Technical and operational risks summary
 - b. Pre-financial Feasibility
 - Approximate costs of the project;
 - Financial risks in the project;
 - Private sector interest in the project;
 - Identification of socio-economic benefits
- c. The pre-feasibility analysis can be conducted by the sponsor themselves or can be done through an outsourced consultant. The department may carry out the evaluation themselves if there is enough data available to conduct it themselves.

3. Step 3: Project Concept Note

- a. The Project Concept Note covers the basics of the project in terms of type of infrastructure and service to be provided. The Project Concept Note is expected to answer some basic questions of the project. It should contain all the departmental information that may be available at that point of time. If a feasibility study is available for the same, then the feasibility report will be an additional input along with the Project Concept Note.
- b. Project Concept Note is a summary of the project that is being initiated by the Government through an SPV, based on inputs from a study conducted (on its own or by a third party). It essentially gives a brief of the project and its boundaries.

- c. For projects which have not been mentioned in the Budget or Budget Announcements, Administrative Department takes this Project Concept Note along with any Pre-Feasibility studies, for seeking in-principle approval of the cabinet for taking up the project.
- d. It is reiterated that for projects mentioned in the Budget or Budget Announcements, the Administrative Department may satisfy itself of the pre-feasibility and issue Administrative Sanction.

4. Step 4: Identification of Special Purpose Vehicle (SPV)

- a. Along with the Project Concept Note, the Administrative Department should identify the Special Purpose Vehicle that will execute the project.
- b. Based on the requirements of the project, the Department shall, either set up a new Special Purpose Vehicle (SPV) or utilise the services of an existing SPV for the purpose of implementing the project. The SPV will plan, appraise, approve, implement, manage, operate, monitor and evaluate the project entrusted to it. The SPV will be headed by a full time CEO and will have nominees of the Government on it.
- c. The execution of projects may be done through joint ventures, subsidiaries, public-private partnership (PPP), turnkey contracts, etc suitably dovetailed with revenue streams.
- d. The SPV will be a limited company incorporated under the Companies Act, 2013 in which the Government, ESCs, PSUs, promoters, etc have suitable equity shareholding. The private sector or financial institutions could be considered for taking equity stake in the SPV.
- e. Complete flexibility shall be given to the SPV to implement and manage the project.
- f. For procurement of goods and services, transparent and fair procedures as prescribed under existing rules shall be followed.

5. Step 5: Administrative Department accords in-principle approval

- a. Once the project note is ready the Administrative Department shall examine and process the same along with a note identifying the Special Purpose Vehicle, for seeking in-principle approval of the Cabinet.
- b. Thereon the Administrative Department shall accord sanction for conducting detailed feasibility study and preparation of the Detailed Project Report (DPR). This approval is the first step towards registration and acknowledgement of the project by KIIIB.

II. STAGE-II: SPV prepares RFP for engaging Consultant where necessary:

1. Once the SPV has been determined and entrusted with the implementation of the project, the SPV may either prepare the Detailed Project Report (DPR) on its own or submit a proposal for obtaining the services of Consultants for the various purposes connected with the project such as Project Consultancy, Planning, Implementation, Procurement, Management and Advisory Services.
2. The RFP shall basically have the following components:
 1. Brief Project Overview
 2. Organization's Background
 3. Project Goals & Target Audience
 4. Sitemap
 5. Scope of Work & Deliverables
 6. Timeline
 7. Technical Requirements
 8. Criteria for Selection
 9. Format & Proposal Timeline
 10. Check List
3. The SPV can also make use of the Standard RFP prepared by KIEFB as a guide for preparing the RFP suited to their specific requirements. The assistance of KIEFB will also be readily available in this regard. A copy of the Standard RFP is attached as ANNEXURE.

III. STAGE III Preparation of Detailed Project Report (DPR) by SPV

1. The SPV may adopt format that is appropriate to the project with the approval of the Secretary of the Administrative Department.
2. However, detailed guidance formats will be issued later under the Scheme to be modified under the KIEFB Act, 1999. [Administrative Department or the designated SPV need not wait for such notification.]

IV. STAGE IV: Assessment of project by KIIFB

The Project Evaluation Team in KIIFB shall examine the DPR and check for the feasibility, financial analysis and relevant details.

V. STAGE VI: Approval of the Project by KIIF Board


The Proposal duly examined by the Project Evaluation Team in KIIFB is placed before the KIIF Board chaired by the Hon'ble Chief Minister. This Board will be the sole authority to accord sanction for financing of the project through the Fund.

VI. STAGE VI: Tender, Contract, Concession Agreement by SPV as are applicable

1. Once the approval of the KIIFB is obtained regarding funding and other procedures regarding implementation of the project, the SPV shall register on the Direct Benefit Transfer (DBT) Portal of KIIFB.
2. Thereafter, the SPV can finalise the tender, Contracts and Concession Agreement and starts implementation.
3. The SPV shall also register the vendors/contractors on the DBT portal for purposes payment. (Detailed guidance will follow.)
4. Payments approved by SPV are released to the bank account of the vendor/contractor from the KIIFB Bank Account. (To avoid delay involved in borrowing project specific funds, initial payments can be met from the corpus of KIIFB).

VII. STAGE VII: Review of Implementation of the Project

1. The Executive Committee of KIIFB chaired by Minister (Finance) will periodically review the progress of the project and oversee the release of funds.
2. The Executive Committee may appoint an Inspection Authority to examine the utilisation of funds.



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